PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER H-32531A

CO	NCERNING A SUBMISSION										
INTERN	ATIONAL APPLICATION NO. PC/EP03/06490	INTERNATIONAL FILING DATE 18 June 2003	PRIORITY DATE CLAIMED 19 June 2002								
	FINVENTION	<u> </u>									
	ANT(S) FOR DO/EO/US	S SUITABLE FOR CONTROLLING I	L W K W SILES								
	y et al.	enter Designate d/Floated Office /DO/FC	O/IC) the fellowing items and other information.								
- C			D/US) the following items and other information:								
1.	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2. 🗀	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.										
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4.	The US has been elected (Article 31).										
5. <b>X</b>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
	a. is attached hereto (required only if not communicated by the International Bureau).										
	b. X has been communicated b	y the International Bureau.									
	c. is not required, as the appl	lication was filed in the United States Receiv	ing Office (RO/US).								
6.	An English language translation of the	ne International Application as filed (35 U.S.C	C. 371(c)(2)).								
	a. is attached hereto.										
	b. has been previously subm	itted under 35 U.S.C. 154(d)(4).									
7. <b>X</b>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
	a. are attached hereto (requ	ired only if not communicated by the Interna	itional Bureau).								
	b. have been communicated	d by the International Bureau.									
	c. have not been made; how	vever, the time limit for making such amendr	ments has NOT expired.								
	d. X have not been made and	will not be made.									
в. 🗆	An English language translation of t	he amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).								
9. <b>X</b>	An oath or declaration of the invento	r(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
Item	s 11 to 20 below concern document(	s) or information included:									
11. 🗶	An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.									
12. 🕡	An assignment document for recordi	: ing. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.								
13. 🗶	A preliminary amendment.										
<sub>14.</sub> 🗶	An Application Data Sheet under 37	CFR 1.76.									
15. 🔲	A substitute specification.	•									
16. 🔲	A power of attorney and/or change of	of address letter.									
17. 🔲	A computer-readable form of the sec	quence listing in accordance with PCT Rule	13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.								
18. 🔲	A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
19. 🔲	A second copy of the English langua	age translation of the international application	n under 35 U.S.C. 154(d)(4).								
20. 🗶	Other items or information: Unex	ecuted Declaration and Post Card	1.								
PTO to p	on of information is required by 37 CFR 1.41 process) an application. Confidentiality is go	4 and 1.491-1.492. The information is required to verned by 35 U.S.C. 122 and 37 CFR 1.11 and	obtain or retain a benefit by the public, which is to file (and by 1.14. This collection is estimated to take 15 minutes to complete the public season by th								

To time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The PTO did not receive the following listed Item(s) Reference of IDS

Cate of Deposit

## DT01 Rec'd PGT/PTC 1 6 DEC 2004

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U.S. APPLICATION NO. 61 known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
	10/5184	PC/EP03/06490		H-32531A						
21. The followi	ng fees are submitted:									
🗶 a) Basic na	ational fee	\$ 300.00								
🗶 b) Examin	ation fee	\$ 200.00								
x c) Search f	ee	\$ 500.00								
	TOTAL OF ABOVE CA	\$ 1,000.00								
listing or co	ee for specification and d mputer program listing file 0 sheets of paper or fract									
Total Sheets	Extra sheets		of each additional 50 or fract (round <b>up</b> to a whole number							
- 100 =	/50 =		0		x \$250.00	\$ 0.00				
	30.00 for furnishing the ordate (37 CFR 1.492(e)).	\$ 0.00								
CLAIMS NUMBER FILE		.ED	D NUMBER EXTRA		RATE ,	\$				
Total claims	4	6 - 20 =	20 = 26		\$50.00	\$ 1,300.00				
Independent claims		6 - 3 =	3	× \$200.00		\$ 600.00				
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$ 0.00				
			TOTAL OF ABOVE	CALC	ULATIONS =	\$ 2,900.00				
Applicant of by 1/2.	laims small entity status.	\$								
				;	SUBTOTAL =	\$ 2,900.00				
. ~	of <b>\$130.00</b> for furnishing thate (37 CFR 1.492(f)).	\$								
		\$ 2,900.00								
	the enclosed assignment e cover sheet (37 CFR 3.	\$								
		\$ 2,900.00								
		Amount to be refunded:	\$							
		Amount to be charged:	\$							
	1.1.1					Chargeo.				
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. Please charge my Deposit Account No. 19-0134 in the amount of \$ 2,900,00 to cover the above fees.  A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 19-0134 A duplicate copy of this sheet is enclosed.										
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been.met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
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Novartis <u>Jund</u>						11100				
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Corporate Intellectual Property  One Health Plaza, Bldg. 104  David L.  NAME				David L. A NAME	MUIKS					
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FORM PTO-1390 (REV. 12-2004)

Page 2 of 2